

12/06/01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002 OMB 0651-0032  
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|   |                        |   |
|---|------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.    | 15685P078C  |
|   | First Inventor         | Christopher Uhlik   |
|   | Title                  | A SYSTEM AND RELATED METHODS TO FACILITATE THE DELIVERY OF ENHANCED DATA SERVICES IN A MOBILE |
|   | Express Mail Label No. | EL 540157998 US   |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small> | <b>ADDRESS TO:</b><br>Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
|---|--|

|  |   |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 39]</span><br/><small>(preferred arrangement set forth below)</small><br/>- Descriptive title of the Invention<br/>- Cross References to Related Applications<br/>- Statement Regarding Fed sponsored R &amp; D<br/>- Reference to sequence listing, a table, or a computer program listing appendix<br/>- Background of the Invention<br/>- Brief Summary of the Invention<br/>- Brief Description of the Drawings (if filed)<br/>- Detailed Description<br/>- Claim(s)<br/>- Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets 6]</span></p> <p>5. Oath or Declaration <span style="float: right;">[Total Pages 3]</span><br/>a. <input type="checkbox"/> Newly executed (original or copy)<br/>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))<br/><small>(for continuation/divisional with Box 18 completed)</small><br/>i. <input checked="" type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small><br/>c. <input type="checkbox"/> Unsigned</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><small>(if applicable, all necessary)</small><br/>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>b. Specification Sequence Listing on:<br/>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/>ii. <input type="checkbox"/> paper<br/>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
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|   |  |
|---|--|
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| 10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small> |  |
| 11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small>  |  |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations                       |  |
| 13. <input type="checkbox"/> Preliminary Amendment  |  |
| 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>                      |  |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>                              |  |
| 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).<br>Applicant must attach form PTO/SB/35 or its equivalent. |  |
| 17. <input checked="" type="checkbox"/> Other: <u>Request to Correct Inventorship (2 pages)</u>   |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/919,790  
Prior application Information: Examiner Not yet assigned Group/Art Unit: \_\_\_\_\_  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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| <b>18. CORRESPONDENCE ADDRESS</b>                                     |   |
| <input checked="" type="checkbox"/> Customer Number of Bar Code Label | <div style="text-align: center;"><br/>08791<br/>PATENT TRADEMARK OFFICE<br/><small>(Insert Customer No. or Attach bar code label here)</small></div> |
| or <input type="checkbox"/> Correspondence address below              |   |

|         |           |          |  |
|---------|-----------|----------|--|
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| Address |           |          |  |
| City    | State     | Zip Code |  |
| Country | Telephone | Fax      |  |

|                   |   |                                   |          |
|-------------------|---|-----------------------------------|----------|
| Name (Print/Type) | Michael A. Proksch  | Registration No. (Attorney/Agent) | 43,021   |
| Signature         |  | Date                              | 11/14/01 |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT** (\$) **870.00****Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   |                   |
| Filing Date          | November 14, 2001 |
| First Named Inventor | Christopher Uhlik |
| Examiner Name        | Not yet assigned  |
| Group/Art Unit       |                   |
| Attorney Docket No.  | 15685P078C        |

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to credit any overpayments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20☐ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     | 740.00   |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) **740.00****2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below |
|--------------|--------------|----------------|
| 11           | 20**         | 0 X 18.00 =    |
| 3            | 3**          | 0 X 84.00 =    |

Multiple Dependent

\*\*or number previously paid, if greater, For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 260      | 204          | 140      | Multiple Dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) **FEE CALCULATION (continued)****3. ADDITIONAL FEE**

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath  | 130.00   |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for response within first month                                  |          |
| 116          | 400      | 216          | 200      | Extension for response within second month                                 |          |
| 117          | 920      | 217          | 460      | Extension for response within third month                                  |          |
| 118          | 1,440    | 218          | 720      | Extension for response within fourth month                                 |          |
| 128          | 1,960    | 228          | 980      | Extension for response within fifth month                                  |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141          | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142          | 1,280    | 242          | 640      | Utility issue fee (or reissue)   |          |
| 143          | 460      | 243          | 230      | Design issue fee   |          |
| 144          | 620      | 244          | 310      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 130      | 123          | 130      | Petitions related to provisional applications                              |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |


Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **130.00****SUBMITTED BY**Name (Print/Type) **Michael A. Proksch**Registration No.  
(Attorney/Agent)**43,021**Telephone **(503) 684-6200**

Signature



Date

**11/14/01****WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
 )  
 Uhlik et al. )  
 )  
 Application No.: Not Yet Assigned )  
 )  
 Filed: Herewith )  
 )  
 For: A SYSTEM AND RELATED )  
 METHODS TO FACILITATE )  
 THE DELIVERY OF ENHANCED )  
 DATA SERVICES IN A MOBLE )  
 WIRELESS COMMUNICATIONS )  
 ENVIRONMENT )  
 A continuation of: )  
 )  
 Application No. 09/919,790 )  
 )  
 Filed: July 31, 2001 )  
 )  
 For: A SYSTEM AND RELATED )  
 METHODS TO FACILITATE )  
 THE DELIVERY OF ENHANCED )  
 DATA SERVICES IN A MOBLE )  
 WIRELESS COMMUNICATIONS )  
 ENVIRONMENT )

Commissioner for Patents  
 Washington, D.C. 20231

REQUEST UNDER CFR §1.48 TO CORRECT INVENTORSHIP

Dear Sir:

This is a request to correct the inventorship in the above-referenced continuation application filed concurrently herewith. Please **delete** the following inventors:

Douglas C. Dahlby  
 Mitchell D. Trott

Mihat Dogan  
Mark Goldberg

Please **add** the following inventors:


Zhifeng Cai  
Neeraj Sinha

Applicant encloses the processing fee of \$130.00 under 1.17(i). Please charge any deficiencies in fees to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for that purpose.

Respectfully Submitted

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: 11/14/01



Michael A. Proksch

Registration No. 43,021

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